



FF4

CERTIFICATE OF MAILING  
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

9/19/02  
Date

*Austin*  
Signature

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nelson Waldo Bunker V, et al

Serial No.: 10/043,654

Filed: January 10, 2002

For: Network Security Testing

Group Art Unit: 2131

Examiner: Unknown

Atty. Dkt. No.: 500939.000004

**RECEIVED**

SEP 27 2002

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the document listed on attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to

be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c) before receipt of a first Office Action reflecting an examination on the merits. Accordingly, no fee is believed due. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Thompson & Knight Deposit Account No. 20-0821/500939.000004.

Applicants respectfully request that the listed document be made of record in the present case.

Respectfully submitted,



Aaron Weiss  
Reg. No. 46,163  
Attorney for Applicants

Thompson & Knight L.L.P.  
98 San Jacinto Boulevard  
Suite 1200  
Austin, Texas 78701

Date: September 19, 2002

Please type a plus sign (+) inside this box →

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE  
Collection of information under this form is mandatory. OMB Control Number  
0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

<p>Substitute for form 1449B/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p>	
Sheet	1	of	1	Application Number	10/043,654
				Filing Date	01/10/2002
				First Named Inventor	Bunker V, Nelson Waldo
				Group Art Unit	2131
				Examiner Name	
				Attorney Docket Number	500939.000004

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



# THOMPSON & KNIGHT

A PROFESSIONAL CORPORATION  
ATTORNEYS AND COUNSELORS

1700 PACIFIC AVENUE • SUITE 3300  
DALLAS, TEXAS 75201-4693  
(214) 969-1700  
FAX (214) 969-1751

2131  
AUSTIN  
FORT WORTH  
HOUSTON  
MONTERREY, MEXICO

DIRECT DIAL:

(512) 469-6177  
email: weissa@tklaw.com

September 19, 2002

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:	
9/19/02	<u>A. Weiss</u>
Date	Name

RECEIVED

Assistant Commissioner for Patents  
Washington, DC 20231

SEP 27 2002

Technology Center 2100

RE: *SN 10/043,654 "NETWORK SECURITY TESTING" – Nelson Waldo Bunker V, et al.*  
*(Our File No. 500939.000004)*

Sir:

Enclosed for filing in the above-referenced patent application are:

1. Information Disclosure Statement;
2. Information Disclosure Statement by Applicant (Form PTO/SB/08A) (1 page);
3. Reference (C1); and
4. Postcard.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authorized to deduct said fees from Thompson & Knight, L.L.P.'s Deposit Account No. 20-0821/500939.000004.

Assistant Commissioner for Patents  
September 19, 2002  
Page 2

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

  
Aaron A. Weiss  
Reg. No. 46,163

Encl: As above